

## Some comments about HW2

1. One option is to show each has the same cardinality as  $(1, \infty)$ : use translation in one case and inversion (reciprocals) in the other.

2. Make sure you are always proving set statements like  $X = Y$  by checking *both*  $X \subseteq Y$  and  $Y \subseteq X$  (separately). And check inclusions like  $X \subseteq Y$  elementwise (“Well, if  $x \in X$  then ... so  $x \in Y$ .”)

Also note that inverse images are well defined whether there is an inverse function  $f^{-1}$  or not. (When  $f^{-1}$  *does* exist, it is true that an inverse image  $f^{-1}(B)$  is identical to the forward image of applying the function  $f^{-1}$  to the subset  $B$  of its domain.)

3. This is the beginning of the wildness of set theory. There’s a whole hierarchy of infinities here:  $\mathbf{N}$ , then  $\mathcal{P}(\mathbf{N})$ , then  $\mathcal{P}(\mathcal{P}(\mathbf{N}))$ , then ... Worse, there’s more after that. My advice: don’t go there.

5. When  $F$  is any field, functions  $f : F \rightarrow F$  which preserve addition and multiplication are called *automorphisms* of  $F$ . I asked you to prove there aren’t any automorphisms of  $\mathbf{R}$  except the identity map. When  $F = \mathbf{C}$ , though, there are some, notably complex conjugation  $f(a + bi) = a - bi$ . The study of fields’ automorphisms leads to a branch of math called Galois Theory.

Since  $1 \cdot 1 = 1$  we must have  $f(1) \cdot f(1) = f(1)$ , so the element  $z = f(1)$  makes  $z^2 - z = 0$ , i.e.  $z \cdot (z - 1) = 0$ . As we noted in class, this means one of those two factors must be zero. Having  $z = 0$  isn’t really a field automorphism: if  $f(1) = 0$  then since  $x = x \cdot 1$  for every  $x \in F$  we would conclude  $f(x) = f(x) \cdot f(1) = f(x) \cdot 0 = 0$ , that is,  $f$  sends every element to zero! That function does indeed (trivially) preserve addition and multiplication but it’s not very interesting, so I meant to exclude it. That leaves only  $z = 1$ . So now you know  $f(1) = 1$ .

Similarly you also know  $f(0) = 0$ : since  $0 + 0 = 0$  and  $f$  preserves addition. (Actually  $x = 0$  is the *only* element with  $f(x) = 0$ : if  $x$  is nonzero, it has an inverse with  $x \cdot x^{-1} = 1$ , which makes  $f(x) \cdot f(x^{-1}) = f(1) = 1$ , which precludes having  $f(x) = 0$ .)

Then  $f(n) = n$  for every natural number  $n$ : we just proved it for  $n = 0$  and  $n = 1$ , and if it’s true for some value of  $n$  then  $f(n + 1) = f(n) + f(1) = n + 1$ .

Since  $x + (-x) = 0$ , it follows  $f(x) + f(-x) = f(0) = 0$ , so  $f(-x) = -f(x)$  for every  $x$ . Thus for every natural number  $n$ ,  $f(-n) = -n$ , meaning  $f(z) = z$  has now been proved for every integer  $z$ .

Take the same line of thinking multiplicatively instead of additively and you prove  $f(x^{-1}) = (f(x))^{-1}$  for every nonzero  $x$ , and in particular  $f(1/n) = 1/n$  for every natural number. Then  $f(m/n) = f(m \cdot (1/n)) = f(m) \cdot f(1/n) = m/n$ , meaning  $f(z) = z$  has now been proved for every rational number  $z$ , too.

Now all this reasoning applies to automorphisms of every field. To discuss the reals in particular, we need the other features that distinguish  $\mathbf{R}$ , namely the ordering and completeness. First note that if  $h \geq 0$  then  $h$  is a square (Rudin’s theorem 1.21), which gives  $f(h) = f(z^2) = f(z)^2$  which is necessarily  $\geq 0$ . Hence if  $y \geq x$ , we may let  $h = y - x$  and then  $f(y) = f(x + h) = f(x) + f(h) \geq f(x) + 0 = f(x)$ , so  $f$  is increasing.

Now let  $x$  be any real number and ask if it's possible for  $y = f(x)$  to be different from  $x$ . That would make  $y < x$  or  $y > x$ . In either case, find a rational number  $a$  between  $x$  and  $y$ . So in the first case we have  $y < a < x$ ; apply  $f$  to see  $f(a) = a \leq f(x) = y$  since  $f$  is increasing. This is a contradiction. The second case is similar. So the only noncontradictory situation is  $f(x) = x$ .

So  $f(x) = x$  for all real numbers  $x$ .

6. It's probably easier to write the elements of the field as  $a + bi$  rather than  $(a, b)$  (where  $i$  stands for  $(0, 1)$  and  $a, b$  lie in the underlying field  $\mathbf{Z}_p$ ). Then you can use the same arithmetic you know from the complex numbers:  $(a + bi)(a - bi) = a^2 + b^2$ . As long as  $a^2 + b^2$  isn't zero, this allows you to compute an inverse of  $a + bi$ , and if  $a^2 + b^2$  is zero, you have a contradiction to the field axioms. (Recall that in a field the only time a product can be zero is if one of the factors is.) So the whole existence of inverses comes down to deciding whether it is possible to find two elements  $a, b \in \mathbf{Z}_p$  with  $a^2 + b^2 = 0$  i.e.  $a^2 = -b^2$  or  $(ab^{-1})^2 = -1$ . You can resolve this question by simply squaring all the elements of  $\mathbf{Z}_p$ : in  $\mathbf{Z}_3$  we have  $0^2 = 0, 1^2 = 1, 2^2 = 1$  and so no square equals  $-1$ . But in  $\mathbf{Z}_5$  we have  $0^2 = 0, 1^2 = 1 = 4^2, 2^2 = 1 = 3^2$  and in particular 2 and 3 are already two square roots of  $-1$ !

More generally,  $-1$  is a square in  $\mathbf{Z}_p$  iff  $p$  is one larger than a multiple of 4 ( $p = 5, 13, 17, \dots$ ). That's an interesting theorem — not all that hard to prove but far from obvious — but it belongs in a Number Theory class, not Analysis, so I won't prove it here.